

Appl. Ser. No. 09/447,319

Att. Docket No. 11129/2

Reply to Office Action of March 17, 2004

Amendments to the CLAIMS:

Without prejudice, this listing of the claims replaces all prior versions and listings of the claims in the present application:

LISTING OF CLAIMS:

1. (Currently Amended) A method for trading an instrument, comprising the steps of:

providing a request for a price of the instrument from a customer to a plurality of users via a communication network, the request including request data concerning the instrument;

receiving at least one response to the request from the plurality of users, the at least one response including a user price for the instrument;

providing the at least one response to the customer via the communication network;

determining a best price response to the request based on the at least one response, wherein the best price response is a highest best one of the at least one response received from the users;

providing a first indication to the user who provided the best price response, the first indication indicating that the response is the best price response; and

providing a second indication to the users who did not provide the best price response, the second indication indicating that the response is not the best price response, the first and second indications being different from one another;

wherein the users, taking into account whether the first indication or the second indication is provided, are able to adjust or cancel a corresponding response at any time before the customer accepts the best price response,

wherein the request is for one of a bid to buy the instrument and an offer to sell the instrument, [[and]]

wherein the first indication is not an acceptance, and the second indication is not a rejection, and

the second indication does not indicate what the best bid is so that users receiving the second indication do not know the best bid.

Appl. Ser. No. 09/447,319

Att. Docket No. 11129/2

Reply to Office Action of March 17, 2004

2. (Original) The method according to claim 1, further comprising the step of:
eliminating a particular response of the at least one response if the particular response
is not the best-price-response.
3. (Original) The method according to claim 1, wherein the request data include at least one
of an identifier of the instrument, pricing information of the instrument, and quantity
information for the instrument.
4. (Original) The method according to claim 3, wherein the request data further include at
least one predetermined condition.
5. (Original) The method according to claim 1, further comprising the steps of:
initiating a settlement procedure when the user accepts a response.
6. (Original) The method according to claim 1, further comprising the step of:
eliminating the request by the customer when the customer indicates the request is no
longer valid.
7. (Original) The method according to claim 1, further comprising the steps of:
amending the request at the direction of the customer; and
providing the amended request to the plurality of users via the communication
network.
8. (Original) The method according to claim 1, wherein the first and second indications are
provided by a predetermined signal selected from the group consisting of one or more of
visual indications and sound indications.
9. (Original) The method according to claim 1, further comprising the step of:
providing at least one of pricing data, risk management data, and analysis data for the

instrument to the plurality of users.

10. (Original) The method according to claim 1, wherein the instrument is selected from the group consisting of a stock, a bond, a treasury bill, and a derivative.

11. (Original) The method according to claim 1, further comprising the step of:
initiating a private communication between the customer and one of the plurality of users selected by the customer.

12. (Original) The method according to claim 11, wherein the private communication includes at least one of a fax, an e-mail, and a real-time chat channel.

13. (Original) The method according to claim 1, wherein the request includes at least one subrequest.

14. (Original) The method according to claim 1, wherein the communication network includes at least one of an Internet, a dedicated network, a telephone network, and a wireless network.

15. (Original) The method according to claim 1, further comprising the step of:
generating at least one predetermined group of the plurality of users.

16. (Currently Amended) A system for trading an instrument, comprising:
a communication network;
a first computer for transmitting a request for a price of the instrument from a customer via the communication network, the request including request data; and
at least one second computer for receiving the request via the communication network, the at least one second computer transmitting at least one response to the request from at least one user to the first computer via the communication network,
wherein the first computer determines a best price response to the request based on

Appl. Ser. No. 09/447,319

Att. Docket No. 11129/2

Reply to Office Action of March 17, 2004

the at least one response, the first computer transmitting a first indication to the user who provided the best price response, the first indication indicating that the response is the best price response, the first computer transmitting a second indication to the users who did not provide the best price response, the second indication indicating that the response is not the best price response, the first and second indications being different from one another, and the request is for one of a bid to buy the instrument and an offer to sell the instrument,

wherein the first indication is not an acceptance, and the second indication is not a rejection,

wherein the users, taking into account whether the first indication or the second indication is provided, are able to adjust or cancel a corresponding response at any time before the customer accepts the best price response, [[and]]

wherein the best price response is a ~~highest~~ best one of the at least one response received from the users, and

the second indication does not indicate what the best bid is so that users receiving the second indication do not know the best bid.

17. (Currently Amended) A system for trading an instrument, comprising:

a first computer;

a second computer for transmitting a request for a price of the instrument from a customer to the first computer, the request including request data; and

at least one third computer for receiving the request via the first computer, the at least one third computer transmitting to the first computer at least one response to the request from at least one user,

wherein the first computer determines a best price response to the request based on the at least one response, the first computer transmitting a first indication to the user who provided the best price response, the first indication indicating that the response is the best price response, the first computer transmitting a second indication to the users who did not provide the best price response, the second indication indicating that the response is not the best price response, the first and second indications being different from one another, and the request is for one of a bid to buy the instrument and an offer to sell the instrument,

wherein the first indication is not an acceptance, and the second indication is not a rejection,

wherein the users, taking into account whether the first indication or the second indication is provided, are able to adjust or cancel a corresponding response at any time before the customer accepts the best price response, [[and]]

wherein the best price response is a ~~highest~~ best one of the at least one response received from the users, and

the second indication does not indicate what the best bid is so that users receiving the second indication do not know the best bid.

18. (Currently Amended) A computer-readable storage medium storing a set of instructions, the set of instructions capable of being executed by a processor to provide for trading of an instrument, the set of instructions performing the steps of:

providing a request for a price of the instrument from a customer to a plurality of users via a communication network, the request including request data concerning the instrument;

receiving at least one response to the request from the plurality of users, the at least one response including a user price of the instrument;

providing the at least one response to the customer via the communication network;
determining a best price response to the request based on the at least one response, wherein the best price response is a ~~highest~~ best one of the at least one response received from the users;

providing a first indication to the user who provided the best price response, the first indication indicating that the response is the best price response; and

providing a second indication to the users who did not provide the best price response, the second indication indicating that the response is not the best price response, the first and second indications being different from one another;

wherein the users, taking into account whether the first indication or the second indication is provided, are able to adjust or cancel a corresponding response at any time before the customer accepts the best price response,

Appl. Ser. No. 09/447,319

Att. Docket No. 11129/2

Reply to Office Action of March 17, 2004

wherein the request is for one of a bid to buy the instrument and an offer to sell the instrument, [[and]]

wherein the first indication is not an acceptance, and the second indication is not a rejection, and

the second indication does not include what the best bid is so that users receiving the second indication do not know the best bid.

19. (Previously Presented) The computer-readable storage medium according to claim 18, wherein the set of instructions enable the customer to provide an amended request or a counter-request to the users at any time before the customer accepts the best price response.

20. (Canceled).

21. (Canceled).

22. (Previously Presented) The method according to claim 1, further comprising: providing an amended request or a counter-request from the customer to the users at any time before the customer accepts the best price response.

23. (Canceled).

24. (Canceled).

25. (Previously Presented) The system according to claim 16, wherein the customer is able to provide an amended request or a counter-request to the users at any time before the customer accepts the best price response.

26. (Canceled).

27. (Canceled).

Appl. Ser. No. 09/447,319

Att. Docket No. 11129/2

Reply to Office Action of March 17, 2004

28. (Previously Presented) The system according to claim 17, wherein the customer is able to provide an amended request or a counter-request to the users at any time before the customer accepts the best price response.

29. (Canceled).

30. (Canceled).